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U.S. PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application: Alan W. H. Grant

Examiner:

Serial Number: 09/766,698

Group Art Unit: 2161

Filing Date: January 22, 2001

Title: CONSTITUENCY VALUE EXCHANGE OPTIMIZATION METHOD

Docket Number: 48971-023 (AWGK-001)

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, DC 20231 on the date indicated below.

Date: 11/6/02

Gayle Endres

Commissioner for Patents and Trademarks
Washington, DC 20231

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TRANSMITTAL LETTER

Technology Center 2100

Dear Sir:

Applicant encloses herewith for filing in connection with the above-referenced patent application the following documents:

- 1) Supplemental Information Disclosure Statement;
- 2) Form PTO-A820 (also form PTO-1449);
- 3) Copies of cited References (5), along with a copy of the Search Report; and
- 4) Acknowledgment Postcard.

Respectfully submitted,

Scott A. Ouellette
Registration Number 38,573
McDERMOTT, WILL & EMERY
28 State Street
Boston, Massachusetts 02109
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Date: 11/6/02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Alan W. H. Grant Examiner:
Serial Number: 09/766,698 Group Art Unit: 2161
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Commissioner for Patents and Trademarks
Washington, DC 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant and his attorney are aware of the following publications and information, listed on the attached Form PTO-A820, and in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, hereby submit these publications for the Examiner's consideration.

Applicant certifies that each item of information contained in the Supplemental Information Disclosure Statement was cited in an International Search Report from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

This Statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands the Examiner will make an independent evaluation of the cited publications.

U.S.S.N. 09/766,698

November 5, 2002

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A full copy of the cited publications and a copy of the International Search Report are enclosed.

Since the information contained in this Supplemental Information Disclosure Statement was cited in a Search Report from a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement, pursuant to 37 C.F.R. §1.97(c)(1) no fee is believed due. However, please charge any necessary fees which might be due in this matter to our Deposit Account No. 50-1133.

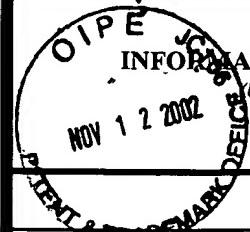
Respectfully submitted,



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Date: 11/6/02

BST99 1319964-1.048971.0023



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

Application Number

Applicant(s)

Filing Date	Group Art Unit
January 22, 2001	2161

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	JP 07185563	02/07/97	Japan				✓
			PCT/US01/44077 Srch Rept.				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.